

Dominion Partners, L.C. - Business Continuity Planning

Dominion Partners' Business Continuity Plan addresses the firm's response to events that might significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, the plan is flexible in responding to actual events as they occur. In accordance with NASD rule 3510, we are providing information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at (804) 418-6270 or a direct dial extension, you should go to our web site at www.dominionpartners.com or attempt contact by email.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and continuing to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, employees, and regulators; alternate physical location of employees; and regulatory reporting.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site if necessary and expect to recover and resume business as soon as possible. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business as soon as possible. In either situation, we plan to continue in business.

For more information – If you have questions about our business continuity planning, you can contact us at (804) 418-6270.